

**FRAMEWORK PRINCIPLES**

	Research Institute	Research Centre	Academic Centre	Central Research Platform	Externally-funded Research Centres	Affiliate Centres	Research Network
<b>Definition</b>	A major organisational grouping with a longer-term multi-disciplinary research goal. It must have significant linkages with industry, government or other external organisations. An institute must have a high external profile within the community. It conducts world leading research with impact beyond academia. It is envisaged that the University would have relatively few such entities and that use of the term signals a major strategic focus of the University.	Coalition of high quality researchers who intend to focus their activities on a specific area of at least national significance. Centres should involve strong partnerships with other universities, government, business or publicly funded research organisations.	Academic Centres are established as a focus for specialised types of academic activity. Although certain types of research and development may be carried out in Academic Centres, research is neither their primary rationale nor their central activity. The range of functions and possible forms of Academic Centres is intended to be wide. They may undertake multi-disciplinary teaching and/or community service activities that involve significant cooperation across School and/or Faculty boundaries.	Central Research Platforms house technology research platforms (physically or virtually) for use by internal and external users (including industry) to enable leading research.	Externally-funded Research Centres (or Nodes of Centres) are externally supported by a time-limited single large external grant and managed according to rules of that scheme. [Examples include: NHMRC Centres of Research Excellence, ARC Centres of Excellence, Cooperative Research Centres, Industrial Transformation Research Centres/Hubs].	Affiliate Centres are those where the University has agreed to recognise a joint mission with one or more organisations through a formal relationship. The purpose and scope of activities involving affiliates may take a number of forms and these are defined through the terms of an Affiliate Agreement. Participation in Affiliate Centres requires careful evaluation of the associated benefits and risks. The types of organisations may include hospitals, research organisations, industry, government and institutional partners.	Member based (at individual or organisational level) collaborative that builds research capacity by connecting and involving persons in mutually beneficial research activities. Networks operate in a virtual fashion and may promote a layer of research activity that sits beyond the scope of Research Centres and individual organisational units.
<b>Scale<sup>1</sup></b>	Typically Academic Staff (UQ salaried) of: >70 FTE (STEMM) >35 FTE (Non-STEMM)  Typically External Research Income of: >\$15 million per annum (STEMM) >\$5 million per annum (non-STEMM)	Typically Academic Staff (UQ salaried) of: >10 FTE (STEMM) >8 FTE (Non-STEMM)  Typically External Research Income of: >\$1 million per annum for two consecutive years (STEMM) >\$200K per annum for two consecutive years (non-STEMM)	Typically >13 FTE academic staff (UQ salaried)	Large scale research infrastructure.	Varies dependent on the nature of the external grant.	Established through an Affiliate Agreement.	Typically > 30 UQ academics and >3 research groups. Multiple Centres may collaborate to form a network.
<b>Purpose</b>	To conduct world-leading research with impact beyond academia and provide research training for HDR and research focussed staff.	To conduct world-leading research and provide research training as per home school. May play a role in teaching and community activities.	They may facilitate the building of strong links between various external agencies and a number of academic (and in some cases administrative) elements within the University.	To facilitate world-leading research and provide training of professional research staff (expert technicians), of users, and of the broader university (in terms of capabilities of instrumentation). Expert advisory service to users.	Community awareness of a major area of strength based on involvement in nationally recognised schemes that support Centres.	Established through an Affiliate Agreement.	Direct societal impact and clearly articulated path towards major external funding. No direct responsibilities for undertaking teaching or research – these activities should be devolved to the members.
<b>Scope of Research</b>	A research paradigm that is broad and multi-disciplinary, focusing on multiple aspects of a research question or problem, manifesting in multiple research programmes.	Focus of expertise on single research area or topic resulting in a single research programme, focussed across multiple university organisational units.	N/A	Research focusses on cutting edge application of the infrastructure as well as facility/technique improvement and development	As per the external grant.	Established through an Affiliate Agreement.	Facilitate the focus on a major multi-disciplinary field of research with demonstrated societal benefit
<b>Lifespan</b>	Intended to be long-term	Specific lifespan	Specific lifespan	Lifespan consistent with the ongoing requirement for the facility.	Lifespan is directly related to the length of the external grant.	Established through an Affiliate Agreement, but intended to have a specific lifespan.	Specific lifespan
<b>Governance</b>							
<b>Organisational Location ('Host')</b>	Generally reporting to a member of the Vice-Chancellor's Executive, but is at the discretion of the Vice-Chancellor.	Generally reporting within a Faculty or Institute, but is at the discretion of the Vice-Chancellor.	Generally reporting within a Faculty or the Senior Executive, but is at the discretion of the Vice-Chancellor.	Generally reporting to the Pro-Vice-Chancellor (Research Infrastructure), but is at the discretion of the DVCR.	Report to a School or Institute.	Generally reporting to a member of the Vice-Chancellor's Executive, but is at the discretion of the Vice-Chancellor.	Could be administered through a host Faculty/Institute. Some major networks may be administered through the Office of the Deputy Vice-Chancellor (Research).

<sup>1</sup> Full-Time Equivalent (FTE): calculated for Institutes and Research Centres as 1 FTE per Research Focussed academic and 0.5FTE per Teaching and Research academic; calculation for Academic Centres will be dependent on the type. For Teaching and Learning Centres 0.5FTE per Teaching and Research academic. Other academics types will be recognised dependent on their confirmed commitment to the Academic Centre. The FTE must be allocated to the Institute/Centre.

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	Research Institute	Research Centre	Academic Centre	Central Research Platform	Externally-funded Research Centres	Affiliate Centres	Research Network
<b>Advisory Board</b>	External Advisory Board (with membership external to UQ), approved by the Vice-Chancellor.	May establish an Internal Advisory Board, approved by the Host.	May establish an Internal Advisory Board, approved by the Host.	Internal Advisory Board, approved by the Deputy Vice-Chancellor (Research). Advisory Board must have representatives from at least three Faculties/Institutes.	As per the requirements of the external grant.	Requirements to be established through an Affiliate Agreement. There must be a suitable structure to enable adequate oversight of the relationship.	Internal Advisory Board, approved by the Deputy Vice-Chancellor (Research).
<b>Management Committee</b>	Must be established and have representatives from the wider university community. Structure to be approved by Host Leader.	Management is under the direction of the Host.	Management is under the direction of the Host.	Management is under the direction of the Deputy Vice-Chancellor (Research). A User Group will be established to provide advice on the needs of the facility.	As per the requirements of the external grant.	Requirements to be established through an Affiliate Agreement. There must be a suitable structure to enable adequate oversight of the relationship.	Management is under the direction of the Deputy Vice-Chancellor (Research) and host Executive Dean/Institute Director.
<b>Director</b>	Full-time	May be Part-time. FTE proportion to be determined by the Executive Dean/Institute Director.	May be Part-time. FTE proportion to be determined by the Executive Dean/Institute Director.	May have an Academic Lead/Director (Full or part-time) and an Operational Manager (full-time).	As per the requirements of the external grant.	Requirements to be established through an Affiliate Agreement. There must be a suitable structure to enable adequate oversight of the relationship, but this may not include a Director.	Large networks, reporting to the DVCR, may have a strategically funded Director. Smaller networks may be supported by a Convenor whose position is funded and based in a School/Centre/Institute.
<b>University representation and delegations</b>	Generally equated to an Executive Dean, but at the discretion of the Vice-Chancellor.	Maximally equated to Head of School, but typically at a lower level of delegation. Changes at the discretion of the Vice-Chancellor.	Maximally equated to Head of School, but typically at a lower level of delegation. Changes at the discretion of the Vice-Chancellor.	Generally equated to a central unit Director, but at the discretion of the Deputy Vice-Chancellor (Research).	Limited to delegations associated with Chief Investigators on research grants.	Requirements to be established through an Affiliate Agreement.	N/A
<b>Operations</b>							
<b>Operational Budget</b>	Directly receives university operational support. Has an independent cost centre.	A component of the Faculty/Institute operational budget, at the discretion of the Host. Has a cost centre within the Faculty/Institute.	A component of the Host operational budget. Has a cost centre within the Host.	Supported through user fees and an allocation from the Deputy Vice-Chancellor (Research). User fees would be expected to cover about 30 % of the operational costs. Has a cost centre within the DVCR's portfolio.	As per the grant budget.	Requirements to be established through an Affiliate Agreement.	Dependent on need it may be provided with a small strategic budget. It is expected that Host will provide in-kind support towards the operations and marketing.
<b>Academic Salaries</b>	To be funded from the budget of the Institute.	To be funded from the budget of the Centre.	To be funded from the budget of the Centre.	Limited appointments may be funded.	As per the grant budget.	Requirements to be established through an Affiliate Agreement.	A component of the employing unit.
<b>HDR Supervision</b>	Students may enrol through an Institute, on approval of a case.	Students are to enrol through cognate School or Faculty.	N/A	Students are to enrol through cognate School or Faculty.	N/A	N/A	N/A
<b>Annual Reporting</b>	At the discretion of the Host.	At the discretion of the Host.	At the discretion of the Host.	At the discretion of the Deputy Vice-Chancellor (Research).	Annual report is to be undertaken as per the requirements of the funded project.	Requirements to be established through an Affiliate Agreement.	Short Annual Report required by 30 June each year.
<b>Review Frequency</b>	Every 5 years, but Vice-Chancellor can request at any time.	Dependent on agreed lifespan. Held every five years, but at least 1 year prior to the conclusion of its current term. Vice-Chancellor or Executive Dean/Institute Director can also request it at any time.	Dependent on agreed lifespan. Held every five years, but at least 1 year prior to the conclusion of its current term. Vice-Chancellor or Executive Dean/Institute Director can also request it at any time.	Every 5 years, but Deputy Vice-Chancellor (Research) can request at any time.	N/A	Dependent on agreed lifespan. Held at least every five years, but also at least 1 year prior to the conclusion of its current term. The structure of the review will be established in the Affiliate Agreement. Vice-Chancellor can also request it at any time.	Success of the network reviewed annually by the Deputy Vice-Chancellor (Research).
<b>Reclassification</b>	Reclassification occurs through Closure and Establishment under the relevant Type following the Establishment Procedures.						
<b>Closure</b>	May occur following a Review if accepted by the Senate.	May occur following a Review if accepted by the Vice-Chancellor.	May occur following a Review if accepted by the Vice-Chancellor.	Closure is at the discretion of the Deputy Vice-Chancellor (Research).	Will close at the conclusion of the external funding (or any subsequent agreement from the external funder for the continuation of the name).	Governed by the terms of the Affiliate Agreement.	Discontinuation is at the discretion of the Deputy Vice-Chancellor (Research).

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<b>Establishment KPIs</b>							
	Must be met at establishment and sustained.	Must be met at establishment and sustained.		Must provide research infrastructure utilised by at least three Faculties/Institutes.			Must articulate a societal benefit. Must be inclusive of all researchers working in that field.
<b>External Research Income<sup>2</sup></b>	Typically: >\$15 million per annum (STEMM) >\$5 million per annum (non-STEMM)	Typically: >\$1 million per annum for two consecutive years (STEMM) >\$200K per annum for two consecutive years (non-STEMM)	N/A	N/A	The external Centre grant would generally provide UQ with research income of at least equivalent to the requirements of a Research Centre.	N/A	N/A
<b>Total Academic Staff<sup>1</sup></b>	Typically Academic Staff (UQ salaried) of: >70 FTE (STEMM) >35 FTE (Non-STEMM)	Typically Academic Staff (UQ salaried) of: >13 FTE (STEMM) >8 FTE (Non-STEMM)	Typically >13 FTE (UQ salaried)	N/A	Varies based on the funding source.	Governed by the terms of the Affiliate Agreement.	Typically > 30 individuals and >3 groups.
<b>Academic Staff FTE<sup>1</sup></b>	STEMM Level D & E – at least 10 FTE; and Level B & C – at least 20 FTE  Non-STEMM Level D & E – at least 5 FTE; and Level B & C – at least 15 FTE	STEMM Level D & E – at least 3 FTE; and Level B & C – at least 10 FTE  Non-STEMM Level D & E – at least 1 FTE; and Level B & C – at least 7 FTE	Typically: Level D & E – at least 3 FTE; and Level B & C – at least 10 FTE	Limited numbers of Academics employed by the Centre. Academic leadership comes the Advisory Board and from affiliation of key academics from across the institution within the User Group.	Varies based on the funding source.	Governed by the terms of the Affiliate Agreement.	N/A
<b>Budget utilised to Operate</b>	UQ allocation via the budget model, including direct receipt of Commonwealth Research Block Grants Plus external research income	UQ allocation is as a component of the Host (Faculty or Institute) budget, at the discretion of the Host. Plus external research income	UQ allocation is as a component of the Faculty budget at the discretion of the Executive Dean.	To ensure a scale of operations the required Operating Budget would generally exceed \$500K per annum. Operating budget as part of DVCR allocation & user fees (external & internal)	External Research Grant. Possibly an institutional co-contribution if agreed as a component of the grant submission.	Governed by the terms of the Affiliate Agreement.	Small allocation for back of office functions (may come from DVCR or Faculty/Institute dependent on scale) May have access to special strategic funding calls.
<b>Commonwealth Research Block Grants</b>	Received directly as per UQ's budget model	Received at the discretion of the host Executive Dean/Institute Director.	N/A	Ineligible to receive.	Received at the discretion of the host Executive Dean/Institute Director/Head of host School	Governed by the terms of the Affiliate Agreement.	N/A

<sup>2</sup> External Research Income must be expended directly on the work of the Institute or Centre.